



3869-026

THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : Wright et al
SERIAL NO. : 10/014,684
FILING DATE : December 11, 2001
GROUP ART UNIT : 3761
EXAMINER : Mark Rademacher
TITLE : METHODS AND APPARATUS FOR
STROKE PATIENT TREATMENT

Assistant Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

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TRANSMITTAL

TECHNOLOGY CENTER R3700

Sir:


The Applicant hereby transmits the following in response to the Office Action dated June 25, 2003:

- (1) Amendment and Response to Office Action (16 pages);
- (2) Request for Correction of Inventorship with supporting papers (10 pages);
- (3) Recordation Form Cover Sheet and Assignment Document (3 pages)
- (4) Supplemental Information Disclosure Statement with form PTO-1449 and reference;
- (5) Fee Transmittal in duplicate (2 pages);
- (6) Check in the amount of \$1300.00 for an extension to respond in third month; recording fee for 1 assignment; and petition to correct inventorship and IDS; and
- (7) an itemized post card for return.

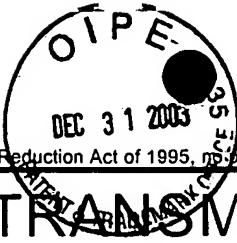
The Commissioner is authorized to charge any additional fees that may be required, or to credit any overpayment, to our Deposit Account No. 07-1730.

I hereby certify that the foregoing documents are being deposited with the United States Postal Service, Express Mail, Post Office to Addressee in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on the date below. (Express Mail label: EV 225385639 US)

GOTTLIEB, RACKMAN & REISMAN, P.C.
270 Madison Avenue
New York, New York 10016
(212) 684-3900


Raymond B. Churchill, Jr. Reg. No. 44,617

Dated: December 31, 2003



01-02-04

37654

#12

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 1010112003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1,300.00

Complete if Known

Application Number	10/014,684
Filing Date	December 11, 2001
First Named Inventor	WRIGHT et al.
Examiner Name	RADEMACHER, Mark
Art Unit	3761
Attorney Docket No.	3869-026

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 07-1730

Deposit Account Name: Gottlieb, Rackman & Reisman, P.C.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☒ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			(\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 21 0	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,095	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 1 65	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.1 7(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify) Change of Inventorship - \$130.00			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			(\$) 1,120.00

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SUBMITTED BY		(Complete if applicable)	
Name (Print/Type)	Michael I. Rackman, Esq.	Registration No. (Attorney/Agent)	20,639
Signature	<i>Michael I. Rackman</i>	Telephone	(212) 684-3900
		Date	Dec. 31, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.1 7 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS: SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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3869/026

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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REQUEST FOR CORRECTION OF
INVENTORSHIP PURSUANT TO 37 C.F.R. §1.48(a)

This request filed pursuant to 37 C.F.R. §1.48(a) is to correct the inadvertent omission of a joint inventor.

Applicant requests that the above-entitled application be amended to correct inventorship to add a joint inventor, Alison Mary Hansford.

In support of this request, Applicant also submits the following documents:

1. A statement pursuant to 37 C.F.R. §1.48(a) from the previously unnamed inventor that the error in inventorship occurred without deceptive intention on her part;
2. A Substitute Declaration signed by the previously named inventors and the newly named inventor in accordance with 37 C.F.R. §1.63;
3. Assent of Assignee to Addition of Inventor; and
4. Fee pursuant to C.F.R. §1.17(i) (\$130.00).

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TECHNOLOGY CENTER R3700

Respectfully submitted,

GOTTLIEB, RACKMAN & REISMAN, P.C.
Attorneys for Applicant
270 Madison Avenue
New York, New York 10016
Phone: (212) 684-3900
Fax: (212) 684-3999

01/08/2004 SSITHIB1 00000037 10014684

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12/16/2003
Date

Raymond B. Churchill, Jr. (Reg. No. 44,617)